

RECEIVED  
CENTRAL FAX CENTER

AUG 14 2005

## PRITZKAU PATENT GROUP LLC

13337 Misty Street  
Broomfield, CO 80020AttorneysMichael Pritzkau  
Phone: 303-410-9254Stephen Shear  
Phone: 303-661-0901Patents, Trademarks, Copyrights & Related Intellectual  
Property Matters

Fax: 303-410-9258

Patent AgentsJay Beyer  
Phone: 303-499-3859Yoriko Morita  
Phone: 303-673-9993FACSIMILE TRANSMITTAL SHEET

**CONFIDENTIAL NOTE:** The information contained on this facsimile (FAX) message is legally privileged and confidential information intended only for the use of the receiver or firm named below. If the reader of this message is not the intended receiver, you are hereby notified that any dissemination, distribution or copy of this FAX is strictly prohibited. If you have received this FAX in error, please immediately notify the sender at the telephone number provided above (collect) and return the original message to the sender at the address above via the United States Postal Service. Thank you.

To Examiner Tuyet Thi Vo  
USPTO

From Jay Beyer  
Pritzkau Patent Group LLC

Number of Pages (including cover) 5

Date Sent August 14, 2005

Fax #: 571-273-8300

MessageResponse to Office Action

Examiner Tuyet Thi Vo

Please enter the following documents into the file for application serial number 10/820,930. The following documents include:

|   |         |
|---|---------|
| Fax cover sheet                               | 1 page  |
| Response to Notice of Non-Compliant Amendment | 2 pages |
| Notice of Non-Compliant Amendment             | 1 page  |
| Corrected Figure 9 Replacement Sheet          | 1 page  |

Total Pages 5 pages

If there are any questions regarding this fax, please call Jay Beyer at 303-499-3859.

Sincerely,

Jay Beyer

RECEIVED  
CENTRAL FAX CENTER

AUG 14 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Name: Anthony Catalano

Serial No. 10/820,930

Filed: April 8, 2004

For: UNIVERSAL LIGHT EMITTING  
ILLUMINATION DEVICE AND METHOD

Examiner: Tuyet Thi Vo

Group Art Unit: 2821

Att. Docket No.: TAG-1  
(TAG-001-US-n)

Date: August 14, 2005

CERTIFICATE OF ELECTRONIC TRANSFER I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office on August 14, 2005.

Signed: 

Jay R Beyer

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Response to Notice of Non-Compliant Amendment

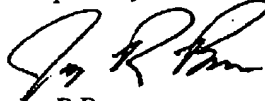
Dear Sir:

As indicated in the following copy of the Notice of Non-Compliant Amendment, the amendment filed on August 2, 2005 was considered Non-Compliant because the replacement drawing sheet was not identified in the top margin as "Replacement Sheet". A corrected replacement sheet is attached and is intended as a full and complete response to the Notice of Non-Compliant Amendment dated August 10, 2005.

Any additional fees associated with this submission that may be due may be charged to Deposit Account 19-1685 (Order No. TAG-1). (A duplicate of this sheet is being submitted for fee processing purposes.)

If there are any questions concerning this response, the Examiner is respectfully requested to contact Jay Beyer at 303-499-3859.

Respectfully submitted,

  
Jay R Beyer  
Reg. No. 39,907

1 of 1

RECEIVED  
CENTRAL FAX CENTER

AUG 14 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Name Anthony Catalano

Serial No. 10/820,930

Filed: April 8, 2004

For: UNIVERSAL LIGHT EMITTING  
ILLUMINATION DEVICE AND METHOD

Examiner: Tuyet Thi Vo

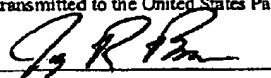
Group Art Unit: 2821

Att. Docket No.: TAG-1  
(TAG-001-US-n)

Date: August 14, 2005

CERTIFICATE OF ELECTRONIC TRANSFER I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office on August 14, 2005.

Signed:



Jay R. Beyer

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Response to Notice of Non-Compliant Amendment

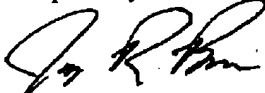
Dear Sir:

As indicated in the following copy of the Notice of Non-Compliant Amendment, the amendment filed on August 2, 2005 was considered Non-Compliant because the replacement drawing sheet was not identified in the top margin as "Replacement Sheet". A corrected replacement sheet is attached and is intended as a full and complete response to the Notice of Non-Compliant Amendment dated August 10, 2005.

Any additional fees associated with this submission that may be due may be charged to Deposit Account 19-1685 (Order No. TAG-1). (A duplicate of this sheet is being submitted for fee processing purposes.)

If there are any questions concerning this response, the Examiner is respectfully requested to contact Jay Beyer at 303-499-3859.

Respectfully submitted,



Jay R. Beyer  
Reg. No. 39,907

1 of 1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

10/820930

## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 8-2-05 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).

## THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☒ 3. Amendments to the drawings: See Attachment
- ☐ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/onla/preognotice/officflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Janice Roberts  
Legal Instruments Examiner (LIE)

571 2721613  
Telephone No.

Rev. 6/04

BEST AVAILABLE COPY